



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

REC'D 13 JAN 2005

WIPO

PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03026377.6

**PRIORITY  
DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Anmeldung Nr:  
Application no.: 03026377.6  
Demande no:

Anmeldetag:  
Date of filing: 18.11.03  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

NESTEC S.A.  
Avenue Nestlé 55  
1800 Vevey  
SUISSE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

Cell culture system

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

C12M/

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL  
PT RO SE SI SK TR LI



NO 7684

**Patent Application**  
**In the name of Nestec S.A.**

**Title:** Cell culture system

**Inventors:** N.Henault  
A.Cuvier  
D.Courtois

## Cell culture system

### Field of the invention

5 The present invention relates to the field of cell culture. This invention provides a new culture system, for growing cells in general and plant cells in particular. Since this apparatus can be disposable and efficient at large scale, its use allows a great reduction of production costs in different kinds of applications.

### 10 Background of the invention

Conventional culture systems are generally composed of a rigid container (glass or stainless steel) having a means for aerating and mixing the culture content (air sparger, impeller). These systems are complex, and usual equipment and support facilities  
15 associated with aseptic bioprocess are extremely expensive because the large-scale production is based on stainless steel vessels, sterilized in situ. More than 60% of the production costs is due to the fixed costs: high capital costs of fermentation equipment, depreciation, interest and capital expenditure. The running costs are also high, due to low yields and the needs to clean and sterilize the bioreactor after each culturing cycle.

20 In the particular industrial application of plant cell cultures, different well-known culture systems have been used such as stirred tank or airlift reactors. Despite many efforts to commercialise plant metabolites, few achieved commercial success.

One reason is the low productivity in spite of the possibility to obtain higher content of desired compound than in whole plant (rosmarinic acid, shikonin, etc.), up to 20% of  
25 dry weight. The main constraint leading to a low productivity remains the low growth rate (below  $0.7 \text{ day}^{-1}$ , min 20h doubled-time) compared to bacteria. Using batch culture in industrial fermentor means to operate no more than 10-20 runs per year with plant cell cultures in very high cost facilities. It means that the bottleneck for an industrial production is more an economical one than a biological one.

30 To overcome these problems and decrease production costs, new technologies recently appeared, based on the use of various disposable plastic bags instead of stainless steel fermentor. These new systems using pre-sterile disposable plastic bags are promising because they decrease capital investment since plastic is a low cost material and  
35 moreover they eliminate cleaning, sterilization, validation and maintenance of equipment, which is time and cost consuming. It also allows more flexibility in the

process, which can be operated by people not skilled in the art since bags are provided pre-sterile.

5 Different aeration/mixing systems have been proposed in such disposable apparatus. Wave Biotech (Singh V, U.S. Pat. No. 6,190,913) has developed a system using inflated bag placed on rocking mechanism that moves the bag inducing a wave motion to the liquid contained therein. The rocking mechanism limits the size of tank because such a mechanical agitation needs complex equipment to reach high volumes of culture.

10 Another suggestion is to use gas permeable plastic bags agitated with a mechanical system or not agitated at all. In U.S. Pat. No. 5,057,429 a gas permeable bag is rotated or shaken to diffuse oxygen and nutrients to the animal cells. A static gas-permeable bag is also described in U.S. Pat. No. 5,225,346. Up to now there is no industrial development of such culture systems mostly because on one hand there is a difficulty  
15 scale-up an external agitation apparatus and on the other hand there are problems due to insufficient oxygen supply to the cells in a static bag containing several liters of culture medium.

A reactor can consist of a gas-sparged plastic bag in a tank with a head plate that has capabilities for inoculation and media sample removal. Disposable conical plastic bags produced by Osmotec are for small-scale use (few liters), using air bubbles for aeration through an inlet. U.S. Pat. No. 6,432,698 also describes a disposable bioreactor culturing microorganisms or cells, comprising a gas bubbler, generating gas bubbles for mixing and providing gases, close to airlift bioreactor except it is herein made in plastic material.

20 In these inventions, there are two main constraints: at high density or high volumes of culture, there is a need to create smaller gas bubbles or fluid circulation in the whole reactor to achieve convenient mixing and aeration. This results in complex bubbling systems (gas diffusers, partitioned tanks...), which are not in agreement with a simple disposable technology. Moreover, small bubbles are detrimental to sensitive cells.  
25 increase cell to wall adhesion and/or strip off some useful gases from the culture medium (ethylene for plant cell for example).

30 The aim of the present invention is to provide a low cost cell culture system via a disposable apparatus, which is efficient at large scale and easy to use.

35

### Summary of the invention

The present invention consists in a pre-sterilized flexible or non flexible plastic bag in which cells are cultivated.

5 In the present invention, a single large gas-bubble is generated intermittently at the bottom of the column, partially filled with liquid medium and cells. As the large bubble almost fills the cross-section of the column, it creates a thin space between the bubble and the sidewalls of the cylindrical tank where the liquid can flow as the bubble rises. This trickling liquid film, in contact with gas-bubble, allows convenient mixing and  
10 aeration of the bulk in the apparatus during operation without damaging the cells. Such a mixing/aeration system allows an efficient scale-up since oxygen and mass transfer reactions occur at the thin liquid film level. Moreover, as the system is simply designed, capital and maintenance costs are greatly reduced. This disposable apparatus is made of sterilizable and flexible plastic sheets sealed along  
15 their edges to form a column. Such a disposable system allows process flexibility and decreases dead time since no cleaning, sterilization, maintenance or validation are required like in traditional stainless steel devices.

20 As the present invention is disposable and efficient at large scale, it is a good alternative system to decrease production costs in industrial applications.

This culture system can be applied for plant, animal, insect or micro-organism cultures, in suspension or immobilized on different carrier systems. The process allows to produce a large variety of molecules like metabolites (de novo or via biotransformation)  
25 or recombinant proteins, or to multiply embryogenic plant cell line through batch, fed-batch or continuous culture, as well as any other use that could be obvious for the skilled person.

### Brief description of the drawings

30 FIG. 1 is a side view of the apparatus, showing the bag and phenomena created by the rising bubble.

FIG. 2 is a side view of plastic bag to tubing connection.

FIG. 3 is a schematic of the pneumatic and electric circuits useful for generation and control of the frequency and size of bubbles.

35 FIG 4 shows top of the upper part of the tank in the form of an inversed cone.

FIG 5 shows growth kinetics of Soya cells in flasks, stirred tank reactor and large bubble column reactor, expressed in fresh weights per liter of liquid culture.

FIG 6 shows growth kinetics of Soya cells in flasks, stirred tank reactor and bubble column reactor, expressed in dry weights per liter of liquid culture.

#### Detailed description of the invention

- 5 In a basic design, as shown in figure 1, the bioreactor (or reactor) is composed of different parts, comprising at least one tank (1) made of material, such as plastic sealed along their edges (2), for example, to create an interior. The tank is stationary. In a preferred embodiment of the present invention, the tank(s) are made of flexible polypropylene for its sealable and autoclavable properties, so it can be sterilized in a small laboratory autoclave or by any other means well known in the art. However other kinds of materials are also suitable such as Pyrex®, stainless-steel, semi-flexible, rigid or molded plastics, among others and can be sterilized by any methods known by persons skilled in the art such as gamma radiation.
- 10 In a preferred embodiment of the invention, flexible biocompatible water proof materials are heat-sealed along their edges (2), for example, with a thermic impulse seal. However other sealing techniques can also be used, in accordance with methods well known in the art including, but not limited to, ultrasound or radio wave welding. Other kinds of plastics can be manufactured in a different manner such as mold injection molding, for example.
- 15 In the present invention, as shown in figure 1, the reactor can be cylindrical or can have an oval cross section, it can have 2 m height and its diameter can be 12 cm for a working volume of 20 liters, but higher volumes can easily be reached. The reactor can also have different shapes but preferably the height of the shape is at least 5 times its width. It can be, for example a parallelepiped. The dimensions and shape of the tank can be varied to suit the needs of the users; however, the cylindrical column shape is preferred. It is important that dead space, where mixing does not occur, be avoided when culturing cells in suspension. Dead spaces appear preferentially at the corners, that's why it is preferred to manufacture rounded-bottoms mostly with cells, which tend to form dense aggregates (such as plant cells), which settle more rapidly than individual cells.
- 20 If the tank is made in a flexible matter, such as plastic, it is recommended to put the tank in a rigid outer container to support shape and weight of the tank. This rigid container can be made of any material such as polycarbonate but this material will be chosen mostly for its rigidity and strength properties (assumed by thickness and formulation). This outer container can be translucent to facilitate observation of culture (3) if the plastic bag is also translucent or to improve light transmission with
- 25
- 30
- 35

growing photoautotrophic cells for example. Dimensions and shapes of outer containers are preferably designed according to dimensions and shapes of tank discussed above.

5 In the basic design shown in figure 1, at least four tubes are connected to the tank. The first one, at the top, is used to remove excess of gases (4). The second one, at the bottom of the tank (5), is used to provide air to the liquid culture through gas-bubble (6). These tubes are equipped, in the most preferred embodiment, with filters (7), such as for example 0.22  $\mu$ m filters, to prevent airborne contamination. Air inlet tubing can be equipped with a valve to prevent back flush of the liquid in the tube. Moreover, one  
10 inlet tube (8) located at the top of the tank allows to fill the bioreactor with sterile medium and inoculum and one outlet tube (9) located nearby the bottom may be needed to harvest and/or sample the culture bulk.

In a preferred embodiment, tubing is semi-flexible, made of autoclavable silicone but other types of tubing like C-flex or PVC can also be used. In the preferred embodiment  
15 present invention, inner diameters of tubing are 8 mm, except for air inlet tubing which is larger: 11 mm diameter. Lengths of tubing are about one to two meter in this invention but users, to meet requirements, can adjust these dimensions.

Tubing can be connected to the tank via an incorporation port welded on the plastic sheet according to standard techniques such as heat-sealing. In the preferred  
20 embodiment of the present invention, as shown in figure 2, tubing is connected to the tank through a hole in the plastic sheet to autoclavable panel mount union (10) equipped with bolts (11) and seams (12). Imperviousness can be obtained by screwing bolts to clench seams on the plastic sheet. Inner diameters of panel mount union are equal to inner diameters of corresponding tubings in this invention but it is possible to adjust  
25 dimensions as needed.

However, it has to be understood that any means allowing air or gas to circulate can be adapted to the present invention. It is important, for the purpose of the present  
30 invention, that aeration and mixing of the medium is achieved by large gas/air bubbles, and preferably by a single large bubble created every few seconds, having its diameter dictated by the diameter of the tank. Consequently the preferred mixing and aeration means of the invention consists in a bubble that is more long than wide. However, the system also works when bubbles are as long as wide.

Preferably, the large bubble shape is dictated by the shape of the tank; in other words,  
35 the space between the bubble and the tank is restricted to a minimum: to a film of medium comprising cells. However, the system also works when the film is less thin



and the bubble represents from 50 to 99% of the width of the tank preferably from 99%, more preferably 98,5%.

5 To create large bubbles, a bubble generator (13) is linked to the air inlet tube, bubble generator, as shown in figure 3, is for example, an electro-gate (17), controlled by a timer (18) and linked to a gas pump (19). In such a configuration, the electro-controlled electrically by the timer, is directly linked to air inlet and gas pump. Regularly, the timer (programmed by users) sends an electrical signal to the electro-gate for a very short period of time. During this time, the electro-gate is open and allows 10 supplied from the pump to enter the bioreactor. When a high flow of gas is supplied a very short period of time in the column, it creates a single large bubble, which almost the cross section of the column. In the present invention, section of the electro-gate is 15 mm, air pressure at the gas pump is 0.5 bar and the electrical signal, duration 0.1 second, is sent every 5 seconds, thus creating a large bubble every 5 seconds. Users 15 depending on their needs, can adjust these parameters.

This kind of bubble generator is preferred but other devices allowing creating large bubble in the column can also be used. In the present invention, the gas used is air or other gases alone or mixed or recycled from the bioreactor can be used to meet 20 requirements of the cells, for example CO<sub>2</sub> for photoautotrophic plant cells. When the bubble arrives at the top of the column, it somehow explodes, and some medium/cells can be lost on the walls of the tank (1). To avoid this disadvantage, in the embodiment of the present invention, the upper part of the tank is flared, for example the preferred embodiment it is in the form of an inversed cone, so that the medium/cells 25 can fall back into the tank again (symbolized on figure 4 by arrows 20).

During operation, evaporation occurs, reducing the culture volume and concentration of different compounds in the medium, which could be detrimental to the cells. To avoid these problems, it is possible to add devices such as condensers for exhaust gas humidifiers for gas supply. Moreover, it is possible to connect more inlet and/or outlet 30 tubing to the column, it can be useful, for instance, for acids, bases, anti-foam elicitation solutions adding. Optional devices can be added to this culture system control and/or regulation of culture conditions such as (but not limited to) thermometer, pH meter, gas evaluation systems, cell density, pressure control, and mass control. 35 is also possible to place a light generator apparatus around the bioreactor for photoautotrophic plant cells for example. Regulation of temperature in the bioreactor can be achieved by different systems such as (but not limited to) placing the bioreactor

in a room where temperature is controlled via suitable air conditioning, using jacketed outer containers where a circulation of temperature regulated water or air is provided, or any other means known by the skilled person.

- 5 The present invention is based on the fact that liquid culture trickles between the rising gas-bubble (6) and the sidewalls of the bioreactor (as shown by arrows (14) in figure 1). This results in vortices (15) to mix the bulk, avoiding cells to settle and in a thin liquid film (16) in contact with gas bubbles (6) where mass transfer is easily achieved for aeration.
- 10 This culture system is easy to operate since user can choose the volume and the frequency of bubbles by programming the bubble generator as previously described.

- The system of the invention can be used to culture living cells, such as for example plant cells, animal cells, or micro-organisms such as yeast cells, for example. Said cells
- 15 can produce, for example, biomass cells, embryogenic plant cells, metabolites, secondary plant metabolites, and/or recombinant molecules.

#### **Example**

- The following example is illustrative of some of the products and methods of making the same falling within the scope of the present invention. It is not to be considered in
- 20 any way limitative of the invention. Changes and modifications can be made with respect to the invention. That is, the skilled person will recognise many variations in this example to cover a wide range of formulas, ingredients, processing, and mixtures to rationally adjust the naturally occurring levels of the compounds of the invention for a
- 25 variety of applications.

#### **Example: Comparison of growth with Soya cell cultures**

- The ability of the invention to grow Soya cells has been demonstrated using batch cultures. This is comparable or better than in Erlenmeyer flask or stirred tank bioreactor,
- 30 even at larger scale.
- Tissue culture strains of *Glycine max* (L.) Merr. were initiated from different cultivars on Gamborg et al. medium (1968) supplemented with 20g.L<sup>-1</sup> sucrose, 7g.L<sup>-1</sup> agar (bacto-agar Difco) and 1mg.L<sup>-1</sup> 2,4-Dichlorophenoxyacetic acid. The pH is adjusted to 5.8 prior autoclaving (30 min at 115°C). One strain (13406, cv. Maple arrow) was
- 35 transferred in liquid medium (same medium as for tissue cultures without agar and 30g.L<sup>-1</sup> sucrose) and subcultured in 250ml Erlenmeyer flask (3g.L<sup>-1</sup> fresh weight with 100mL medium) every two weeks, in the same conditions than tissue culture collection.

The Erlenmeyer flasks were placed on an orbital shaker at 100 rpm (shaking diameter 20mm).

5 A 14L stirred tank bioreactor (New Brunswick Scientific) with two six flat blade impellers, was used with the same medium and conditions of temperature and pH mentioned above. The bioreactor containing 9L of fresh medium was autoclaved 40min at 115°C. Fourteen day old Soya cells were filtered from two 1L Erlenmeyer flasks (500ml medium). 300g fresh weight was put into 1L of fresh medium in a sterile bag with a specific output to be connected aseptically to the bioreactor for inoculation. The stirrer speed was adjusted at 100rpm. Dissolved oxygen was maintained at 30% by increasing or decreasing air flow rate, using a biocontroller equipped with a sterilizable oxygen probe (Ingold), and a mass flowmeter

10 A 25 L large bubble column reactor (as previously described), put into a rigid outer container, was filled with 20 L of Soya cells in fresh culture medium (30 g/L fresh weight). Temperature of the room was regulated at 25 °C and a 12 cm diameter bubble (about 10 cm height) was generated every 5 seconds (by programming the bubble generator as mentioned above).

20 Growth measurements: Samples of cultivation bulk were taken at certain periods of growth from flasks, stirred tank bioreactor and large bubble column and sample volume was measured. Cells were then removed from liquid culture via filtration. Biomass was weighed (fresh weight). An aliquot of this biomass (about 1 g) was weighed precisely and put into a drying room at 100°C during 24 hours and then weighed precisely again (dry weight).

25 This example shows that the 20L scale column seems to provide a gentle environment to the cells, comparable with flasks and better than the stirred tank reactor. Cell damages seem to be limited and mass and gas transfers seem to be efficient in the operated conditions.

30 As already mentioned above, the present invention provides numerous advantages which in turn are keys to economic benefits:

- It provides a gentle environment to grow plant cells
- Scale-up is easy
- It is disposable
- 35 It is easy to operate

**CLAIMS**

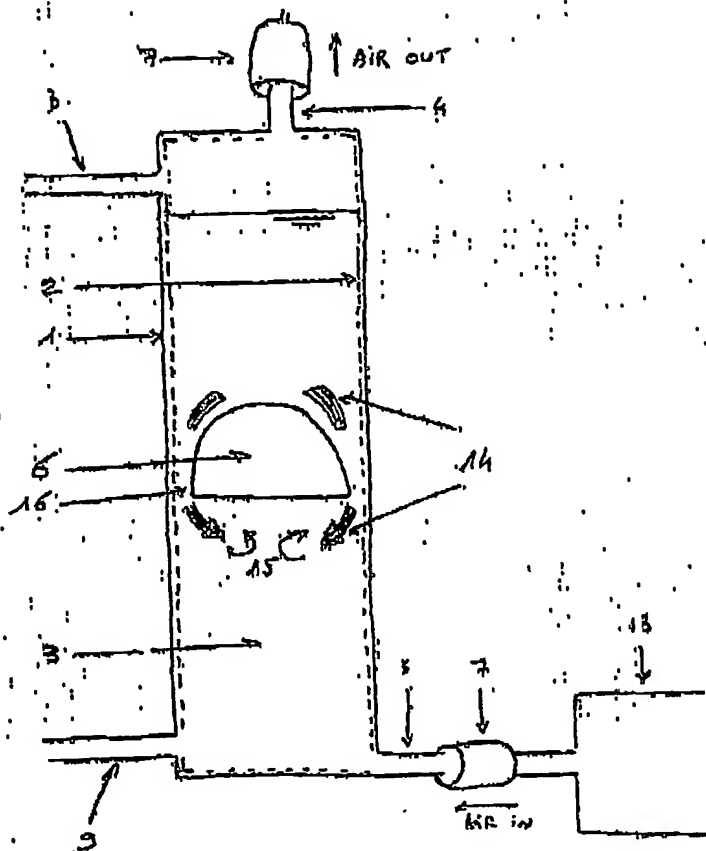
1. Bioreactor for culturing living cells in a liquid culture medium comprising:
  - at least one stationary tank enclosing the cells and liquid culture medium, and
  - at least one means for introducing large gas bubbles at the bottom of the vessel.
2. Bioreactor according to claim 1, wherein the bioreactor also comprises at least a means for programming volume and frequency of large bubbles.
3. Bioreactor according to claim 1 or claim 2, wherein the bubble width represents from 50 to 99% of the tank width, preferably from 60 to 99%, more preferably 98,5%.
4. Bioreactor according to claims 1 to 3, wherein the tank is a flexible or non flexible plastic bag.
5. Bioreactor according to claims 1 to 4, wherein the stationery tank is surrounded by a rigid outer container.
6. Bioreactor according to claims 1 to 5, wherein the upper part of the tank is flared.
7. Bioreactor according to claims 1 to 6, wherein the tank is cylindrical or has an oval cross section.
8. Use of bioreactor according to claims 1 to 7, wherein the cells are plant, animal cells or micro-organisms.
9. Use of bioreactor according to claims 1 to 8, wherein the cells are producing biomass cells, embryogenic plant cells, metabolites, secondary plant metabolites, and/or recombinant molecules.

### Abstract

The present invention provides a novel apparatus to grow cells where a cultivation chamber is partially filled with liquid cultivation medium and cells. Mixing and aeration is achieved by generating intermittently one large gas bubble at the bottom of the column bioreactor. This rising bubble allows mixing and aeration of the bulk. As the design of the invention is very simple, it is possible to manufacture it with flexible plastic material and use the apparatus as a disposable system. Moreover, such mixing/aeration principle minimizes cell damages usually due to shear stress and small bubbles and allows easy and efficient scale-up from small scale to a larger one. Such large-scale, efficient and disposable culture system can largely reduce production costs.

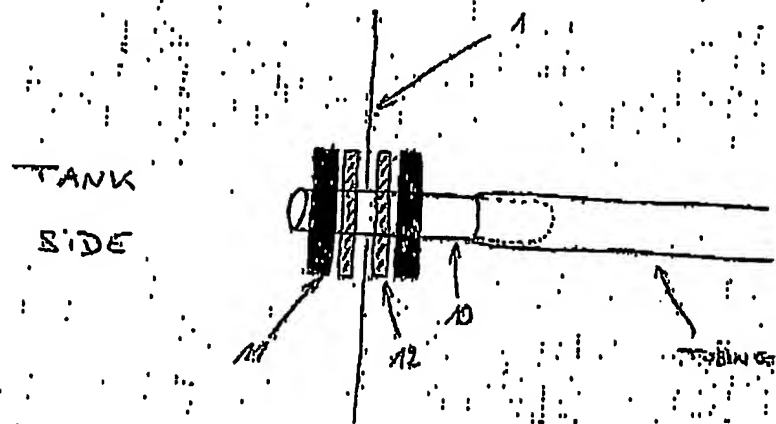
## FIGURES

FIG 1



5

FIG 2



5

FIG 3

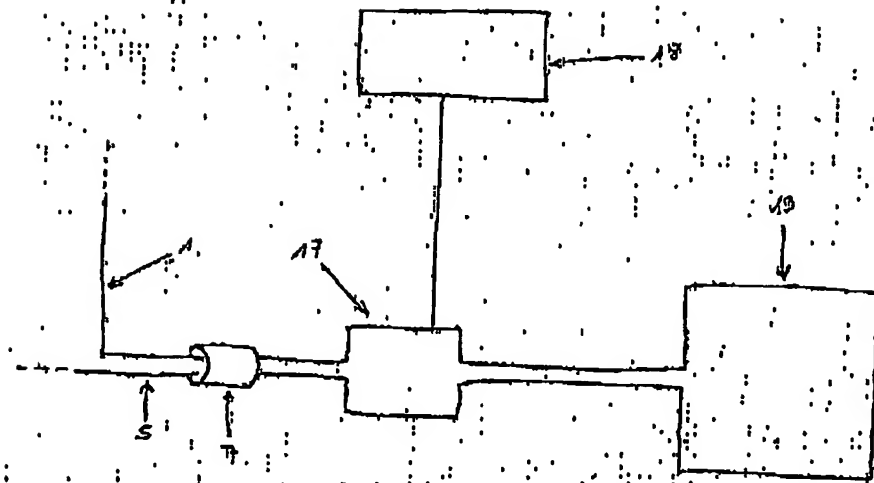


FIG 4

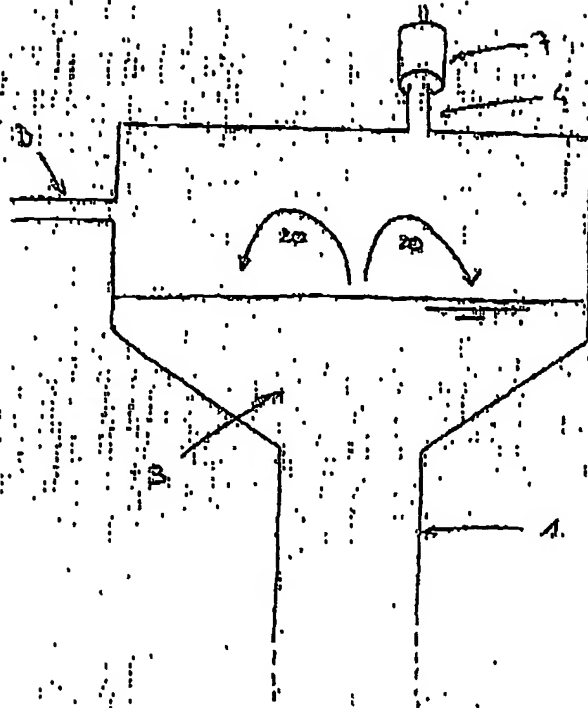


FIG 5

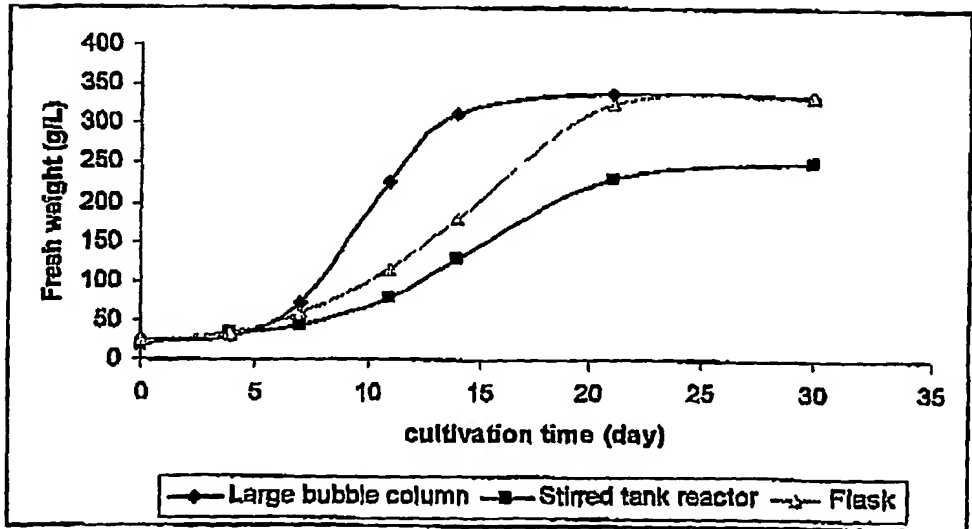
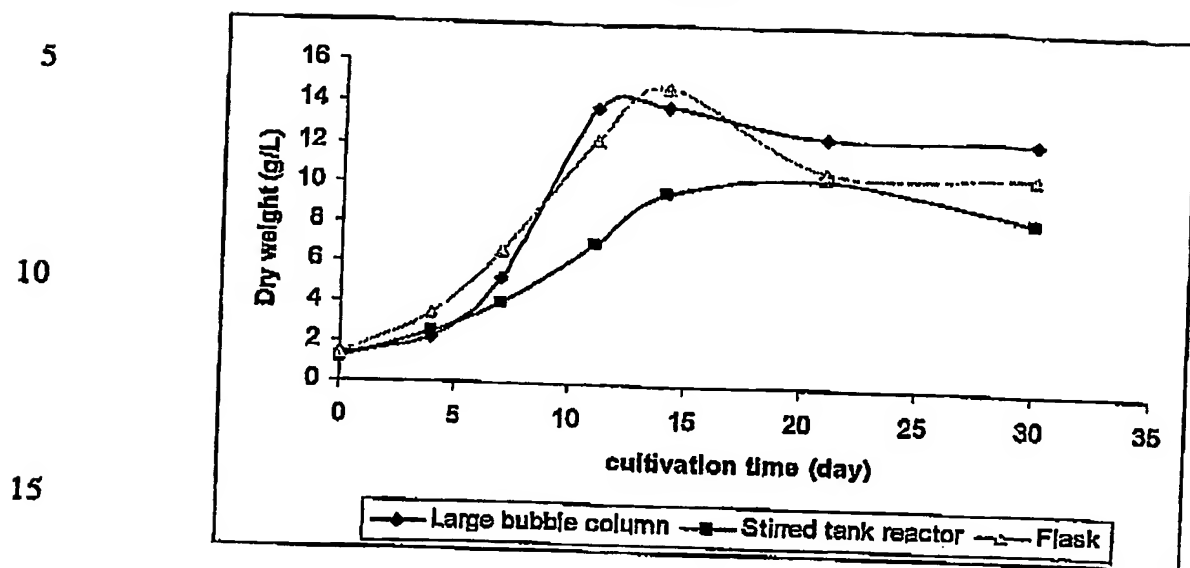
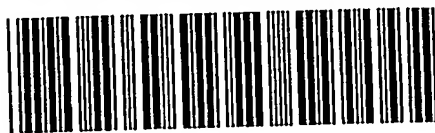




FIG 6



**PCT/EP2004/013082**



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**